

PRIVATE & CONFIDENTIAL

This Form allows you to:

- Request preapproval to trade in Securities listed on your Restricted Securities List

You must not proceed with the trade until you receive a response to this form allowing you to do so

Information About You

Name:

Title:

Date (DD/MM/YYYY):

Business/Corporate Unit/Asset:

Your preferred contact information:

Phone:

Email address:

Information About the Securities to be Traded

1. Company Name:

2. Security type:

3. Exchange:

4. Ticker symbol:

5. Transaction type:

6. Proposed trade date (DD/MM/YYYY):

7. What position are you taking? Long Short I don't know

8. Nature of nonpublic information you possess (if any):

9. Other material terms/information:

Send completed form to Ethics@mubadala.ae